



DOCKET NO: E0295.70066US00

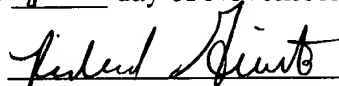
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven M. Blumenau
Serial No: 09/107,618
Confirmation No: 8313
Filed: June 30, 1998
For: METHOD AND APPARATUS FOR PROVIDING DATA
MANAGEMENT FOR A STORAGE SYSTEM COUPLED
TO A NETWORK

Examiner: Dinh, Dung C.
Art Unit: 2153

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 8 day of November, 2004.


Richard F. Giunta, Reg. No. 36,149

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REMARKS

The Supplemental Request for Continued Examination (SRCE) enclosed herein is submitted to perfect a Request for Continued Examination (RCE) filed on September 7, 2004, a copy of which is enclosed herein. It came to our attention that the previously filed RCE was mailed without a submission as required under 37 CFR §1.114(a). However, the fee set forth in 37 CFR §1.17(e) of \$770 was sent via check and a one month extension fee in the amount of \$110.00 was also sent via a separate check with the previously filed RCE (copies of both checks are enclosed herein). Both checks were cleared by the bank on September 13, 2004.

The Amendment enclosed herein satisfies the submission requirement under CFR §1.114(c) and cures the deficiency of the previously filed RCE. Please consider the enclosed Amendment as completing the previously filed RCE, upon which no further payment is required. However, if the date of the previously file RCE cannot be attached, Applicant hereby requests

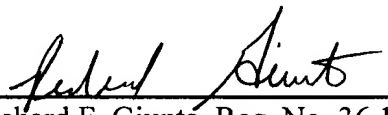
Serial No.: 09/336,091
Marked-Up Claims

- 2 -

Art Unit: 1644

any necessary extension of time incurred up to the filing of the enclosed SRCE. Please charge any fee, including an extension fee, required for a proper filing of an RCE no later than the filing of the SRCE submitted herein to Deposit Account No. 23/2825.

Respectfully submitted,
Steven M. Blumenau et al., Applicant

By: 
Richard F. Giunta, Reg. No. 36,149
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Telephone: (617) 720-3500

Docket No.E0295.70066US00
Date: November 8, 2004
xNDD

COPY

Wolf, Greenfield & Sacks, P.C.

PTFM Account
600 Atlantic Avenue
Boston, MA 02210



CITIZENS BANK
MASSACHUSETTS
5-7017/2110

CHECK NO.

44 0020851

AY Seven Hundred Seventy AND 00/100 DOLLARS

DATE
September 07, 2004

AMOUNT
\$ 770.00

TO THE ORDER OF Commissioner of Patents & Trademarks

Patricia J. O'Shea
AUTHORIZED SIGNATURE

E0295 70066US00

⑈0020851⑈ ⑆211070175⑆ 1108202451⑈

Date September 07, 2004 Payee Commissioner of Patents & Trademarks

CHECK NO. 440020851

Invoice	Invoice Date	Client	Matter	Amount
	9/7/04	E0295	70066US00	770.00

Total Drawn from the PTFM Account No. 1108202451:

770.00

Serial No. 09/107,618 File No. E0295.70066US00 By: RFG:MSJ:plm

Title: METHOD AND APPARATUS FOR PROVIDING DATA....

Application of Steven M. Blumenau et al. WGS Date: 9/6/04

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

<input type="checkbox"/> Mailing by Express Mail (37 CFR 1.10)	<input type="checkbox"/> Provisional Application Cover Sheet
Express Mail Label No. _____	Multiple Dependent Claim Fee Sheet
<input type="checkbox"/> Patent Application	Inf. Disc. Statement, PTO Form 1449
<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> References Cited
Incl. _____ pages, (_____ pgs) Specification,	Priority Document(s) # _____
(_____ pgs) Abstract, (_____ pgs) Claims (_____ # claims)	Copy of Notice to File Missing Parts
<input type="checkbox"/> Design Patent Application	Amendment/Response
Declaration(s) _____	<input checked="" type="checkbox"/> Petition for Ext. of Time (x2)
<input type="checkbox"/> Drawings _____ Sheet(s) (FIGS. _____ - _____)	Issue Fee Transmittal
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	Assignment and Coversheet
<input type="checkbox"/> Utility Patent Application Transmittal	Notice of Appeal
Fee calculation sheet (x2)	Brief (x3)
CPA Transmittal	<input checked="" type="checkbox"/> Check for \$ 110 Check #20820
Verified Statement claiming small entity status	<input checked="" type="checkbox"/> Transmittal Letter (x2)
<input type="checkbox"/> Request for Approval and Entry of Formal Drawings	<input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)

☒ Other Request for Continued Examination (RCE) Transmittal

☒ Check for \$770. Check #20851

DATE MAILED 9/7/04

BEST AVAILABLE COPY

Wolf, Greenfield & Sacks, P.C.

PTFM Account
600 Atlantic Avenue
Boston, MA 02210



CITIZENS BANK
MASSACHUSETTS

6-7017/2110



CHECK NO. 44 0020820

PAY One Hundred Ten AND 00/100 DOLLARS

DATE
September 02, 2004

AMOUNT
\$ 110.00

TO THE Commissioner of Patents & Trademarks

ORDER
OF

Patricia J. O'Brien
AUTHORIZED SIGNATURE

E0295/700660800

⑈0020820⑈ ⑆211070175⑆ 1108202451⑈

Date September 02, 2004 Payee Commissioner of Patents & Trademarks

CHECK NO. 440020820

Invoice	Invoice Date	Client	Matter	Amount
		E0295	700660US00	110.00

Total Drawn from the PTFM Account No. 1108202451:

110.00

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DOCKET NO.: E0295.70066US00

**SUPPLEMENTAL REQUEST FOR
CONTINUED EXAMINATION
(SRCE) TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/107,618
Confirmation Number	8313
Filing Date	June 30, 1998
First Named Inventor	Steven M. Blumenau et al.
Group Art Unit	2153
Examiner Name	Dinh, Dung C.

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, **you** may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) instead of an RCE to be eligible for the patent term adjustment provisions of the AIPA.

1. Submission required under 37 C.F.R. § 1.114**a. Previously submitted**

- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on .
(Any unentered amendment(s) referred to above will be entered.)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on .
- iii. ☒ Other: Consider the RCE filed September 7, 2004.

b. Enclosed is/are:

- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other: Remarks Regarding Supplemental RCE

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of ____ months. (Period of suspension shall not exceed 3 months) and the Fee of **\$130.00** under 37 C.F.R. § 1.17(i) is enclosed.
- b. ☐ Other:

3. Fees - The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ Enclosed is a check in the amount of \$770.00 and a check in the amount of \$110.00 which covers:
 - i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
 - ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
 - iii. ☒ Other: RCE fee previously paid.

4. If the filing of this RCE necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time.**5. If there is no check enclosed, or if the amount of the enclosed check in this RCE is incorrect, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.**

6. CORRESPONDENCE ADDRESS

☒ Direct all correspondence to the below-mentioned customer number

CUSTOMER NUMBER:
46630

7. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Richard F. Giunta, Reg. No. 36,149
SIGNATURE	
DATE	September 7, 2004

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to **MAIL STOP RCE**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 7th day of September, 2004.


Richard F. Giunta, Reg. No. 36,149